## Unit III - Database Design

Dependencies and Normal forms - Functional Dependencies, Armstrong's axioms for FD's, closure of a set of FD's, minimal covers-Non- loss decomposition-

First,Second,Third Normal Forms, Dependency Preservation-Boyce/Codd Normal
Form-Multivalued Dependencies and Fourth Normal Form- Join Dependencies and
Fifth Normal Form

Dependencies in DBMS is a relation between two or more attributes.
1.Trivial functional dependency
2.Non-Trivial functional dependency
3.Multivalued functional dependency
4.Transitive functional dependency

## Trivial functional dependency

- In Trivial Functional Dependency, a dependent is always a subset of the determinant.

If $\mathbf{X} \rightarrow \mathbf{Y}$ and $\mathbf{Y}$ is the subset of $\mathbf{X}$, then it is called trivial functional dependency

- Example


## Trivial functional dependency

| roll_no | name | age |
| :--- | :--- | :--- |
| 42 | abc | 17 |
| 43 | pqr | 18 |
| 44 | xyz | 18 |

\{roll_no, name $\} \rightarrow$ name is a trivial functional dependency, since the dependent name is a subset of determinant set \{roll_no, name\}

Similarly, roll_no $\rightarrow$ roll_no is also an example of trivial functional dependency.

## Non Trivial functional dependency

- In Non-trivial functional dependency, the dependent is strictly not a subset of the determinant.

If $\mathbf{X} \rightarrow \mathbf{Y}$ and $\mathbf{Y}$ is not a subset of $\mathbf{X}$, then it is called Non-trivial functional dependency.

- Example

| roll_no | name | age |
| :--- | :--- | :--- |
| 42 | abc | 17 |
| 43 | pqr | 18 |
| 44 | xyz | 18 |

roll_no $\rightarrow$ name is a non-trivial functional dependency, since the dependent name is not a subset of determinant roll_no

Similarly, \{roll_no, name\} $\rightarrow$ age is also a non-trivial functional dependency, since age is not a subset of \{roll_no, name\}

## Multivalued functional dependency

- Multivalued functional dependency, entities of the dependent set are not dependent on each other.
- If $\mathbf{a} \rightarrow\{\mathbf{b}, \mathbf{c}\}$ and there exists no functional dependency between $\mathbf{b}$ and c, then it is called a multivalued functional dependency.
- Example

| roll_no | name | age |
| :--- | :--- | :--- |
| 42 | abc | 17 |
| 43 | pqr | 18 |
| 44 | xyz | 18 |
| 45 | abc | 19 |

roll_no $\rightarrow$ \{name, age\} is a multivalued functional dependency, since the
dependents name \& age are not dependent on each other(i.e. name $\rightarrow$ age or age $\rightarrow$ name doesn't exist !)

## Transitive Functional dependency

- In transitive functional dependency, dependent is indirectly dependent on determinant.
- If $\mathbf{a} \rightarrow \mathbf{b} \& \mathbf{b} \rightarrow \mathbf{c}$, then according to axiom of transitivity, $\mathbf{a} \rightarrow \mathbf{c}$. This is a transitive functional dependency


## Transitive functional dependency

Example

| enrol_no | name | dept | building_no |
| :---: | :---: | :---: | :---: |
| 42 | abc | CO | 4 |
| 43 | pqr | EC | 2 |
| 44 | xyz | IT | 1 |
| 45 | abc | EC | 2 |

enrol_no $\rightarrow$ dept and dept $\rightarrow$ building_no, Hence, according to the axiom of
transitivity, enrol_no $\rightarrow$ building_no is a valid functional dependency. This is an indirect functional dependency, hence called Transitive functional dependency.

## Armstrong's axioms for FD's

Primary
$\checkmark$ Axiom of reflexivity
$\checkmark$ Axiom of augmentation
$\checkmark$ Axiom of transitivity

## Secondary

$\checkmark$ Union
$\checkmark$ Composition
$\checkmark$ Decomposition
$\checkmark$ Pseudo Transitivity

## Axiom of reflexivity

- if Y is a subset of X , then X determines Y .
- If $X \supseteq Y$ then $X \rightarrow Y$
- $X \rightarrow X$

| R.No | Name | Marks | Dept | Course |
| :---: | :---: | :---: | :---: | :---: |
| 1 | A | 78 | CS | C1 |
| 2 | B | 60 | EE | C1 |
| 3 | A | 78 | CE | C2 |
| 4 | B | 60 | EE | C3 |
| 5 | C | 80 | IT | C2 |

## Axiom of Transitivity

- if X determines Y and Y determine Z , then X must also determine Z .
- If $X \rightarrow Y$ and $Y \rightarrow Z$
then $X \rightarrow Z$
Ram is sibling of Sham Sham is sibling of Mohan


Ram is sibling of Mohan

| R.No | Name | Marks | Dept | Course |
| :---: | :---: | :---: | :---: | :---: |
| 1 | A | 78 | CS | C1 |
| 2 | B | 60 | EE | C1 |
| 3 | A | 78 | CE | C2 |
| 4 | B | 60 | EE | C3 |
| 5 | C | 80 | IT | C2 |

Name $\rightarrow$ Marks and Marks $\rightarrow$ Dept then name $\rightarrow$ Marks

## Axiom of Augmentation

- The augmentation is also called as a partial dependency.
- In augmentation, if X determines Y, then XZ determines YZ for any Z.
- If $X \rightarrow Y$ then $X Z \rightarrow Y Z$
R.No $\quad \rightarrow$ Name then
R.No, Marks $\rightarrow$ Name, marks

| R.No | Name | Marks | Dept | Course |
| :---: | :---: | :---: | :---: | :---: |
| 1 | A | 78 | CS | C1 |
| 2 | B | 60 | EE | C1 |
| 3 | A | 78 | CE | C2 |
| 4 | B | 60 | EE | C3 |
| 5 | C | 80 | IT | C2 |

- If $X \rightarrow Y$ and $X \rightarrow Z$ then $X \rightarrow Y Z$
R.No $\rightarrow$ Name and R.No $\rightarrow$ Marks
R.No $\rightarrow$ Name, marks

| R.No | Name | Marks | Dept | Course |
| :---: | :---: | :---: | :---: | :---: |
| 1 | A | 78 | CS | C1 |
| 2 | B | 60 | EE | C1 |
| 3 | A | 78 | CE | C2 |
| 4 | B | 60 | EE | C3 |
| 5 | C | 80 | IT | C2 |

- Decomposition rule is also known as project rule. It is the reverse of union rule.
- if X determines Y and Z , then X determines Y and X determines Z separately.
- If $\mathrm{X} \rightarrow \mathrm{YZ}$ Then $\mathrm{X} \rightarrow \mathrm{Y}$ then $\mathrm{X} \rightarrow \mathrm{Z}$

Name, Marks $\rightarrow$ Dept, Course then
Name, Marks $\rightarrow$ Dept and
Name, Marks $\rightarrow$ Dept, Course

| R.No | Name | Marks | Dept | Course |
| :---: | :---: | :---: | :---: | :---: |
| 1 | A | 78 | CS | C1 |
| 2 | B | 60 | EE | C1 |
| 3 | A | 78 | CE | C2 |
| 4 | B | 60 | EE | C3 |
| 5 | C | 80 | IT | C2 |

- if X determines Y and YZ determines W , then XZ determines W .
- If $\mathrm{X} \rightarrow \mathrm{Y}$ and $\mathrm{YZ} \rightarrow \mathrm{W}$ then $\mathrm{XZ} \rightarrow \mathrm{W}$

|  | R.No | Name | Marks | Dept | Course |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Roll No $\rightarrow$ Name, and | 1 | A | 78 | CS | C1 |
| Name, Marks $\rightarrow$ Dept | 2 | B | 60 | EE | C1 |
| Then | 3 | A | 78 | CE | C2 |
| Roll No, Marks $\rightarrow$ Dept | 4 | B | 60 | EE | C3 |

## Secondary Rules <br> Axiom of Composition

- If $\mathrm{X} \rightarrow \mathrm{Y}$ and $\mathrm{A} \rightarrow \mathrm{B}$ then $\mathrm{XA} \rightarrow \mathrm{YB}$

| Roll No $\rightarrow$ Name, and | R.No | Name | Marks | Dept | Course |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Marks $\rightarrow$ Dept | 1 | A | 78 | CS | C1 |
| Then | 2 | B | 60 | EE | C1 |
| Roll No, Marks $\rightarrow$ Name, Dept | 3 | A | 78 | CE | C2 |
|  | 4 | B | 60 | EE | C3 |



