

Shell Sort

- Invented by Donald Shell in 1959
- This is the first algorithm to break the quadratic time barrier but few years later, a sub quadratic time bound was proven.
- Shell sort works by comparing elements that are distinct rather than adjacent elements in an array.
- Shell sort uses a sequence called increment sequence.
- Any increment sequence is fine as long as $h_1 = 1$ and some other choices are better than others.
- Shell sort makes multiple passes through a list and sort a number of equally sized sets using the insertion sort.
- Shell sort improves the efficiency of insertion sort by quickly shifting the values to their destination.

- Shell sort is also known as diminishing increment sort. The distance between comparisons decreases as the sorting algorithm runs until the last phase, in which adjacent elements are compared.

- After each phase and some increment $h_i <$ for every i we have $a[i] \leq a[i+h_i]$. All elements spaced h_k apart are sorted.

Advantage:-

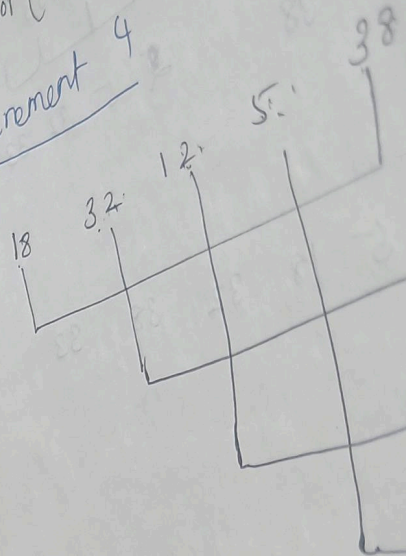
- only efficient for medium sized arrays
- 5 times faster than bubble sort

Example

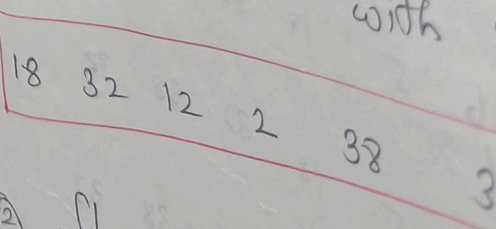
0	1	2	3	4	5	6	7
18	32	12	5	38	33	16	2

Total no of element = $n=8$

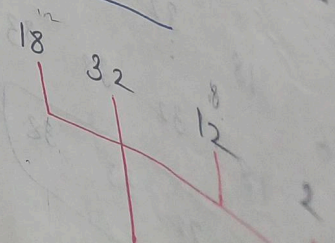
Shell increment
 $\text{floor}(8/2) = 4$
 Increment 4



- Compare 18 & 38 (No swap)
- Compare 32 & 33 (No swap)
- Compare 12 & 16 (No swap)
- Compare 5 & 2 (5 is max with



② floor(4/2) = 2
 Increment 2



- Shell sort is also known as diminishing increment sort
- The distance between comparisons decreases as the sorting algorithm runs until the last phase, in which adjacent elements are compared.
- After each phase and some increment $h_i < h_{i+1}$ for every i we have $a[i] \leq a[i+h_i]$
- All elements spaced h_k apart are sorted.

Advantage:-

- only efficient for medium sized arrays
- 5 times faster than bubble sort

Example

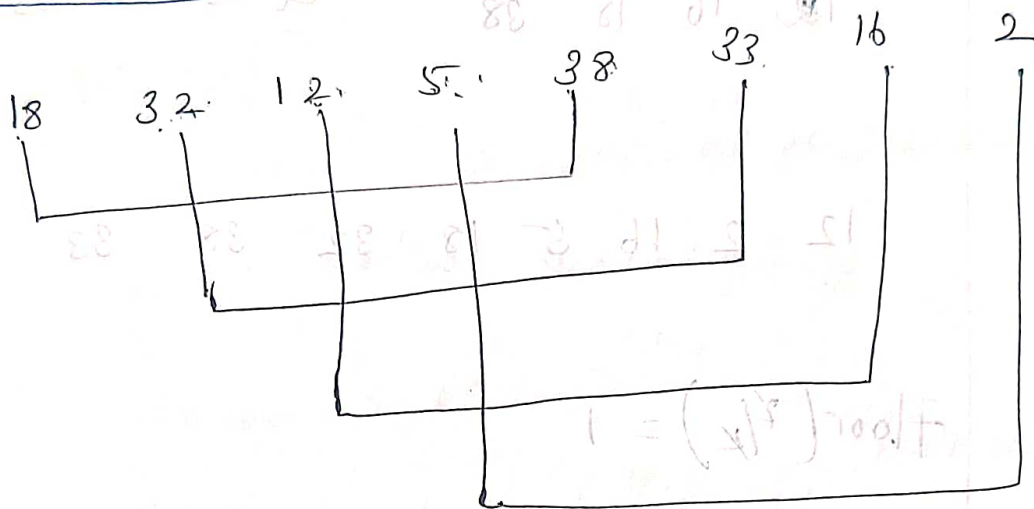
0	1	2	3	4	5	6	7
18	32	12	5	38	33	16	2

Total no of element - $n=8$

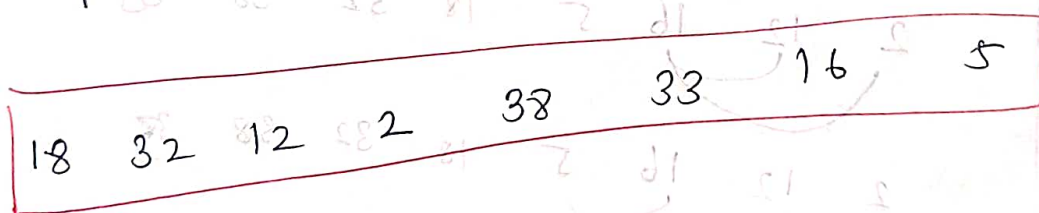
Shell increment will be $\lfloor N/2 \rfloor$

① $\text{floor}(8/2) = 4$

Increment 4

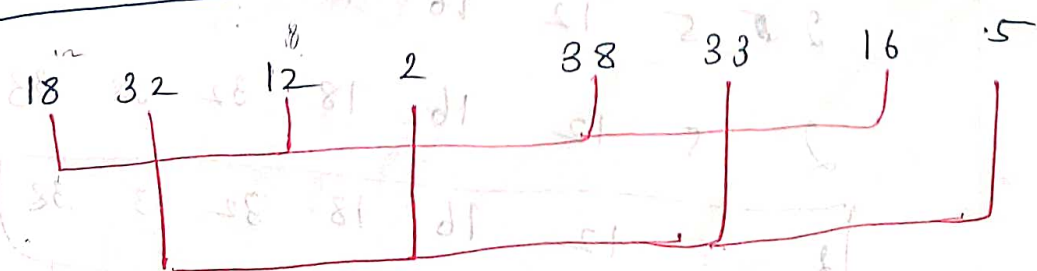


- Compare 18 & 38 (No swap)
- Compare 32 & 33 (No swap)
- Compare 12 & 16 (No swap)
- Compare 5 & 2 (5 is maximum so swap with 2)



② $\text{floor}(4/2) = 2$

Increment 2



$\{18, 12, 38, 16\}$ $\{32, 2, 33, 5\}$

18 12 38 16
 └───┬───┬───┬───┘

32 2 33 5
 └───┬───┬───┬───┘

16 18 38

5 32 33

12 2 16 5 18 32 38 33

Floor($\frac{7}{4}$) = 1

Increment 1

0 1 2 3 4 5 6 7
 12 2 16 5 18 32 38 33
 └───┬───┬───┬───┬───┬───┬───┘

2 12 16 5 18 32 38 33
 └───┬───┬───┘

2 12 16 5 18 32 38 33
 └───┬───┬───┬───┘

2 5 12 16 18 32 38 33
 └───┬───┬───┬───┬───┘

2 5 12 16 18 32 38 33

2 5 12 16 18 32 38 33

2 5 12 16 18 32 33 38

Shell sort Algorithm

void shellsort (int A[], int N)

{ int i, j, Increment;

int temp;

for (Increment = N/2; Increment > 0; Increment /= 2)

for (i = Increment; i < N; i++)

{ Temp = A[i];

for (j = i; j >= Increment; j -= Increment)

if (Temp < A[j - Increment])

A[j] = A[j - Increment];

else break;

A[j] = Temp;

}

0	1	2	3	4	5	6	7	N=8
48	32	12	5	38	33	16	9	

Increment = 8/2 = 4 - 4 > 0

i = 4

① Temp = A[4] = 38

$$j = 4 \quad 4 > 4 \quad \checkmark$$

$$38 < A[4-4]$$

$$38 < A[0]$$

$$38 < 18$$

$$A[4] =$$

$$11/2$$

$$\text{Increment} = \cancel{11/2} = 11/2 = 2$$

$$i = 5$$

$$\textcircled{ii} \text{ } \text{Tmp} = A[5]$$

$$\text{Tmp} = 33$$

$$j = 5; \quad 5 > 4 \quad \checkmark$$

$$33 < A[5-4]$$

$$33 < 32 \times$$

$$i = 6$$

$$\text{Tmp} = 16$$

$$6 > 4 \quad \checkmark$$

$$16 < A[2]$$

$$16 < 12 \times$$

$$j = 7$$

$$\text{Tmp} = A[7] = 28$$

$$7 > 4 \quad \checkmark$$

$$2 < A[7-4]$$

$$2 < A[3]$$

$$2 < 5 \quad \checkmark$$

$$A[7] = A[7-4]$$

$$A[7] = 5$$

$$A[3] = 2$$

$$j = 7 - 4$$
$$j = 3$$