



# **SNS COLLEGE OF ENGINEERING**

Kurumbapalayam (Po), Coimbatore – 641 107

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Approved by AICTE, New Delhi & Affiliated to Anna University, Chennai



**DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING**

**COURSE NAME : 19CS732 INFORMATION RETRIEVAL  
TECHNIQUES**

**IVYEAR / VII SEMESTER**

**Unit 2- MODELING AND RETRIEVAL EVALUATION**

**Topic 1 : Basic IR Models and Boolean Model**



# Problem



Where are we now?

Text Processing Inverted Index construction

Data structures, algorithms, compression...

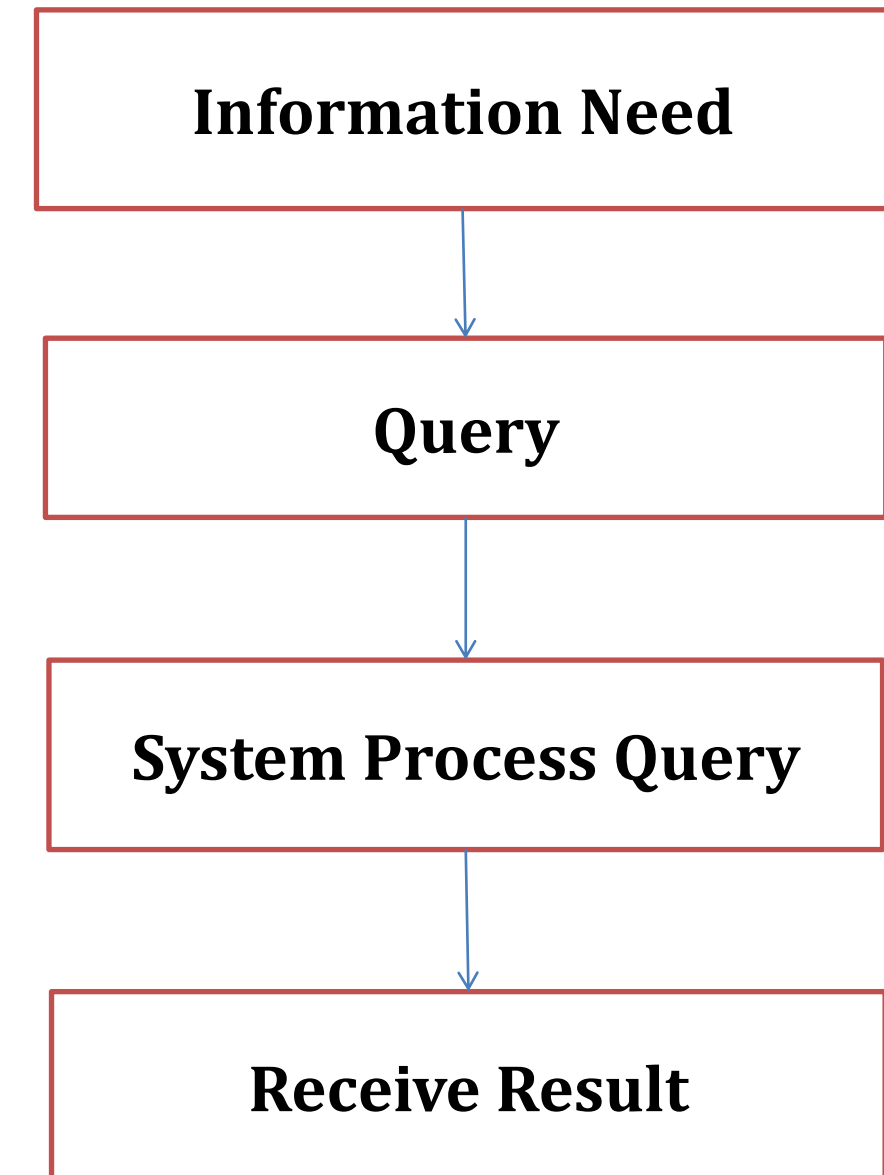
A set of scalable, efficient data structures for finding words in large text collections Now, let's take it back to the problem



## Basic IR Models and Boolean Model-Cont..



- **Search: Part of a user task**
- Classical IR- This is central task Add feedback loop where user refines query
- Modern IR - Part of the Big Picture An essential tool Used in search, filtering, and browsing





## ✓ Handling User Queries

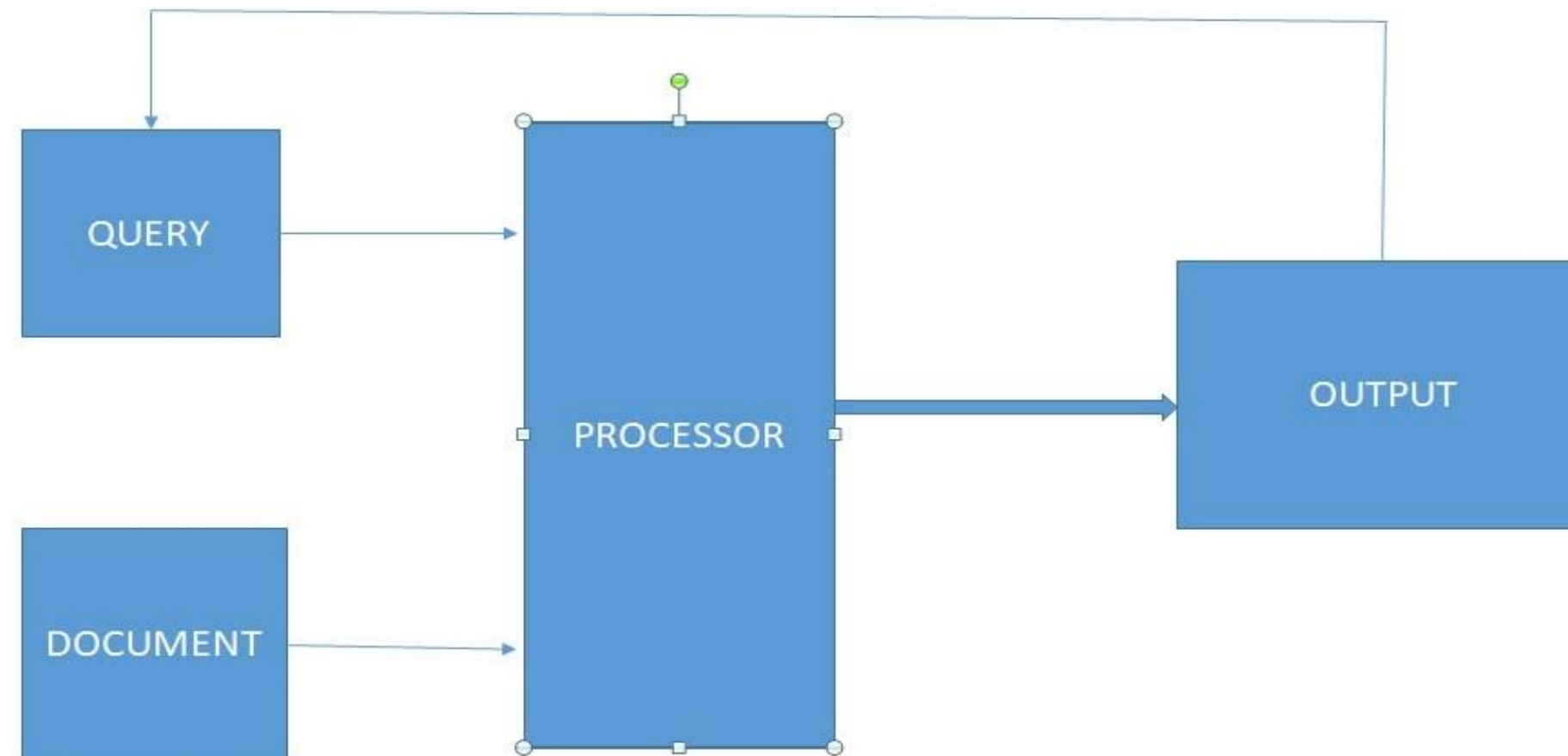
- ✓ Goal of the search component predict which documents are relevant to the user's need
- ✓ rank the documents in order of predicted likelihood of relevance to the use
- Need a model which encompasses documents
- Queries
- Ranking
- Function



# Basic IR Models and Boolean Model-Cont..



## COMPONENTS OF IR





# Information Retrieval Models



## A retrieval model consists of:

D: representation for documents

R: representation for queries

F: a modeling framework for D, Q, and the relationships among them

$R(q, d_i)$ : a ranking or similarity function which orders the documents with respect to a query





# Classical IR Models



Boolean

Vector space

- Basic vector space

- Extended Boolean model

Probabilistic models

- Basic probabilistic model

- Bayesian inference networks

- Language models

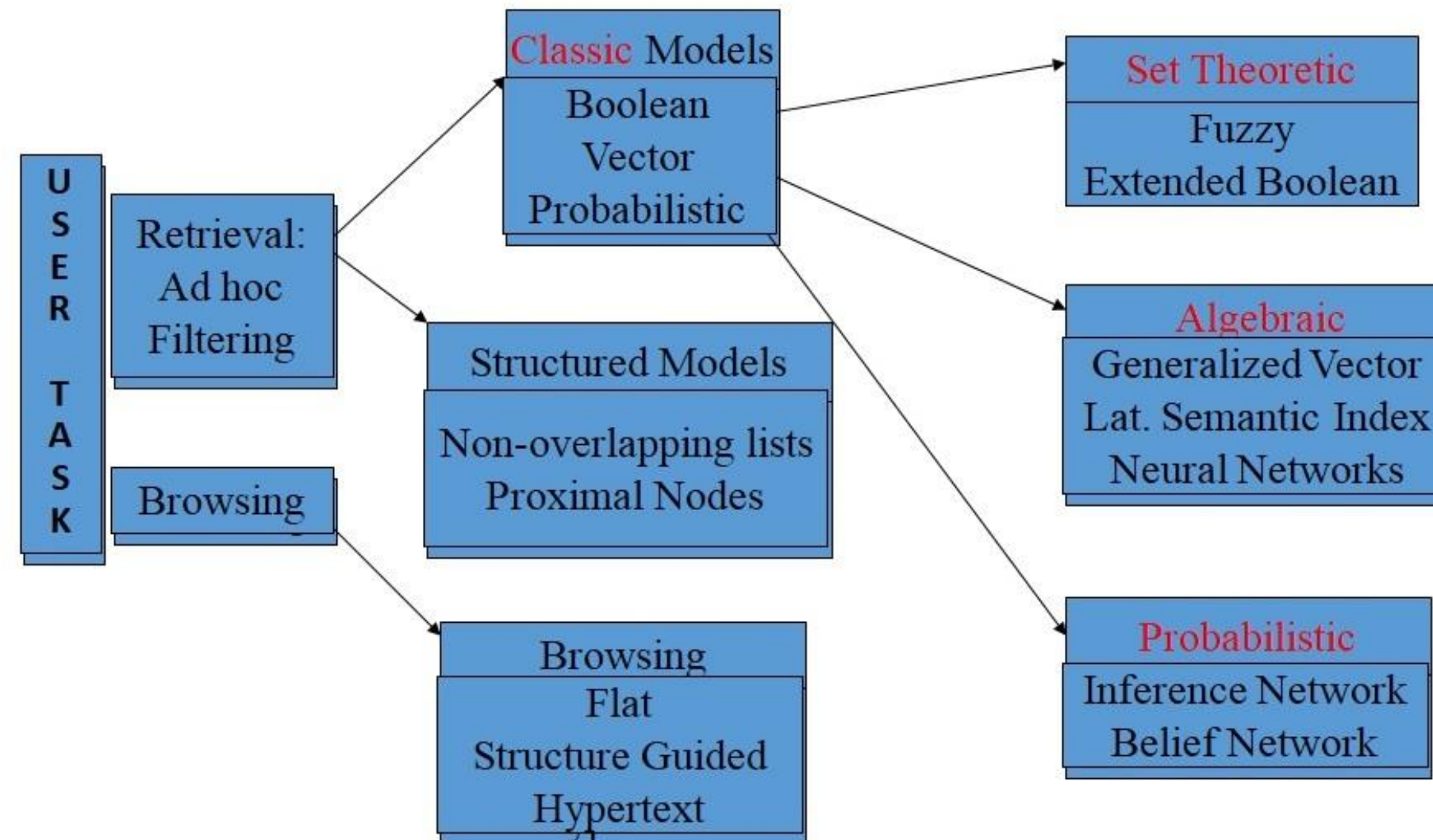
Citation analysis models

- Hubs & authorities (Kleinberg, IBM Clever)

- Page rank (Google)

## Classical IR Models-Cont..

### A Taxonomy of Information Retrieval Models







## Boolean Model

- To process large document collection quickly
- To allow more flexible matching operation
- To allow ranking retrieval system



## Boolean Model- Cont..

Boolean expression is an expression in a programming language that produces a Boolean value when evaluated, i.e. one of true or false.

Operator	Name of operator	What it means	Example
&&	<b>and</b>	True if and only if both sides are true	wet && cold
	<b>or</b>	True if either side is true (or if both are true)	rich    famous
!	<b>not</b>	Changes true to false, and false to true	!happy
^	<b>exclusive or</b>	True if either side is true (but <i>not</i> both)	walking ^ ridingBus



## Boolean Model-Cont..

### Examples

The expression " $5 > 3$ " is evaluated as **true**.

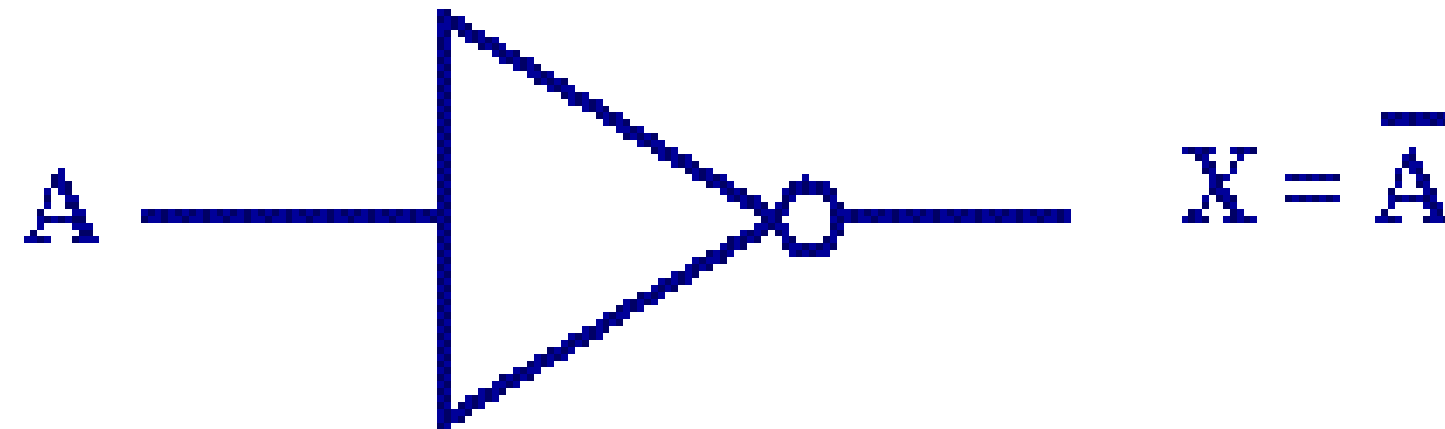
The expression " $3 > 5$ " is evaluated as **false**.

" $5 \geq 3$ " and " $3 \leq 5$ " are equivalent Boolean expressions, both of which are evaluated as **true**.

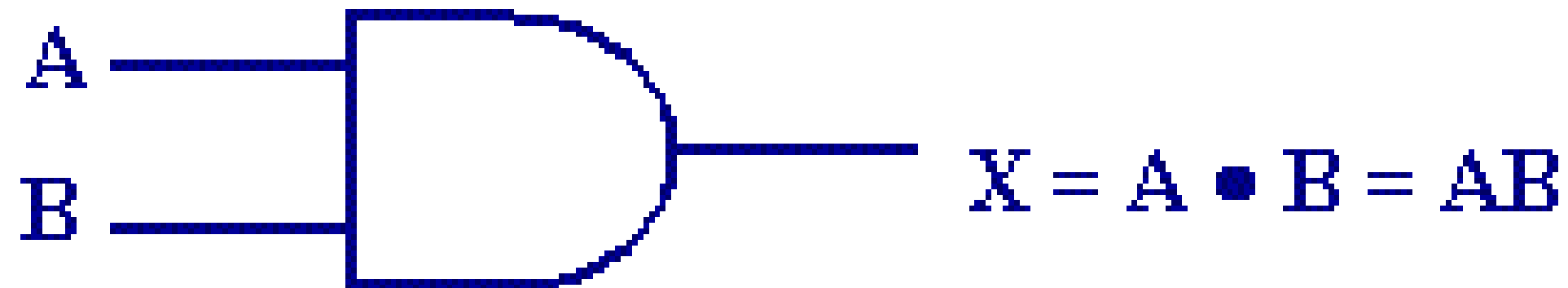
Of course, most Boolean expressions will contain at least one variable ( $X > 3$ ), and often more ( $X > Y$ ).

## Boolean Model-Cont..

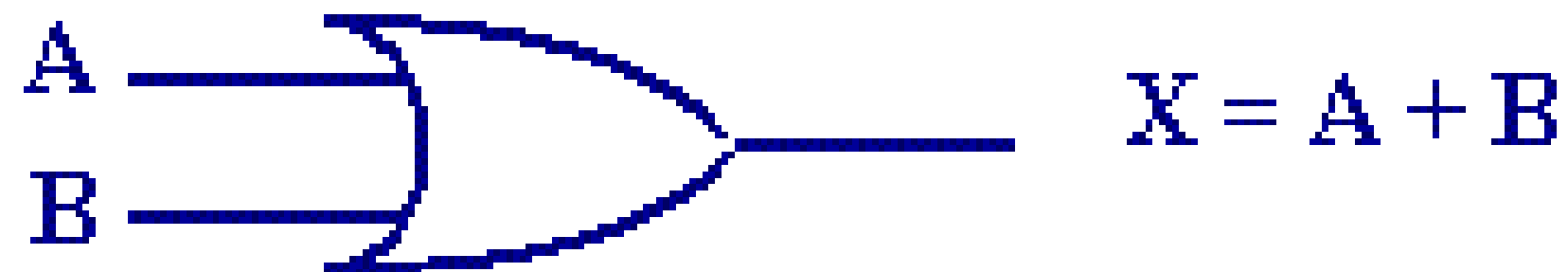
"NOT"



"AND"



"OR"





## Boolean Model-Problem

How do we process a query using an inverted index and the basic Boolean retrieval model? Consider processing the *simple conjunctive query* :  
over the inverted index partially shown in Figure [1.3](#) (page [\\_](#)). We:

- Locate Brutus in the Dictionary
- Retrieve its postings
- Locate Calpurnia in the Dictionary
- Retrieve its postings
- Intersect the two postings lists, as shown in Figure [1.5](#) .





# Boolean Model-Problem

	Antony and Cleopatra	Julius Caesar	The Tempest	Hamlet	Othello	Macbeth	...
Antony	1	1	0	0	0	1	
Brutus	1	1	0	1	0	0	
Caesar	1	1	0	1	1	1	
Calpurnia	0	1	0	0	0	0	
Cleopatra	1	0	0	0	0	0	
mercy	1	0	1	1	1	1	
worser	1	0	1	1	1	0	
...							

► Figure 1.1 A term-document incidence matrix. Matrix element  $(t, d)$  is 1 if the play in column  $d$  contains the word in row  $t$ , and is 0 otherwise.

## Boolean Model-Problem

Brutus → 1 → 2 → 4 → 11 → 31 → 45 → 173 → 174

Calpurnia → 2 → 31 → 54 → 101

Intersection ⇒ 2 → 31

Ex: Boolean model

```

INTERSECT( $p_1, p_2$ )
1  answer ←  $\langle \rangle$ 
2  while  $p_1 \neq \text{NIL}$  and  $p_2 \neq \text{NIL}$ 
3  do if  $\text{docID}(p_1) = \text{docID}(p_2)$ 
4      then  $\text{ADD}(\text{answer}, \text{docID}(p_1))$ 
5           $p_1 \leftarrow \text{next}(p_1)$ 
6           $p_2 \leftarrow \text{next}(p_2)$ 
7      else if  $\text{docID}(p_1) < \text{docID}(p_2)$ 
8          then  $p_1 \leftarrow \text{next}(p_1)$ 
9          else  $p_2 \leftarrow \text{next}(p_2)$ 
10 return answer
    
```



# Activity



# Disadvantages



- Simple queries do not work well.
- Complex query language, confusing to end users
- Difficult to control the number of documents retrieved. ◦ All matched documents will be returned.
- **Difficult to rank output.**
  - All matched documents logically satisfy the query.
- **Difficult to perform relevance feedback.**
  - If a document is identified by the user as relevant or irrelevant, how should the query be modified?



# Advantages



- Can use very restrictive search
- Makes experienced users happy
- Clear formalism □ Simplicity
- It is still used in small scale searches like searching emails, files from local hard drives





# Assessment 1



1. List out the Advantages of basic model of IRT

- a) \_\_\_\_\_
- b) \_\_\_\_\_
- c) \_\_\_\_\_
- d) \_\_\_\_\_

2. Identify the model of Basic IRT

- a) \_\_\_\_\_
- b) \_\_\_\_\_
- c) \_\_\_\_\_
- d) \_\_\_\_\_





## **TEXT BOOKS:**

1. Ricardo Baeza-Yates and Berthier Ribeiro-Neto, –Modern Information Retrieval: The Concepts and Technology behind Search, Second Edition, ACM Press Books, 2011.
2. Ricci, F, Rokach, L. Shapira, B.Kantor, –Recommender Systems Handbook||, First Edition, 2011.

## **REFERENCES:**

1. C. Manning, P. Raghavan, and H. Schütze, –Introduction to Information Retrieval, Cambridge University Press, 2008.
2. Stefan Buettcher, Charles L. A. Clarke and Gordon V. Cormack, –Information Retrieval: Implementing and Evaluating Search Engines, The MIT Press, 2010.

# **THANK YOU**